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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Robert Cramer

Ser. No.: 09/894,032

Art Unit: 1773

Filed: June 28, 2001

Examiner: Jackson, M.

Confirmation No.: 5494

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For: APPARATUS AND METHOD FOR CO-EXTRUDING MULTI COLOR PLASTICS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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*Janet M. Stevens*  
Janet M. Stevens

**INFORMATION DISCLOSURE STATEMENT**  
**SUBMITTED UNDER 37 C.F.R. 1.99 OR**  
**37 C.F.R. 1.97(c) BY NON-APPLICANT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

It is believed that submission of these references by the undersigned **NON-APPLICANT**, such submission being **NOT ON BEHALF OF THE APPLICANT** is proper under 37 C.F.R. 1.99 because although this submission is more than two months after the publication of the above-referenced application, the undersigned was aware of neither the present application nor any of the attached references as of two months following publication of the above-referenced application.

Attached is a check in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p).

In the event that the present paper is deemed to be not in compliance with 37 C.F.R. 1.99, it is respectfully requested that this paper be considered to be an Information Disclosure Statement Under 37 C.F.R. 1.97(c) which, unlike 37 C.F.R. 1.97(b) and 37 C.F.R. 1.97(d),

does not specify that the information disclosure statement must be submitted "by the applicant." It is believed that the provision under 37 C.F.R. 1.97(c) that the information disclosure statement shall be considered if filed after the period specified in 37 C.F.R. 1.97(b) is satisfied because "within three months of the filing date of a national application" is one of the periods specified therein.

The undersigned is not acting as a representative for the applicant in the present application, and is submitting the present paper on behalf of a party other than the applicant.

Respectfully submitted,



Kevin C. Brown  
Reg. No. 32,402


July 25, 2003

Date

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Form PTO-1449  US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/894,032
				Filing Date	June 28, 2001
				First Named Inventor	Robert Cramer
				Art Unit	1773
				Examiner Name	Jackson, M.
				Confirmation No.	5494
Sheet	1	of	1	Attorney Docket No.	12406-0004

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### U.S. PATENT DOCUMENTS

Exam. Initial	Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
	6,489,019 B1	12/03/2002	Shah et al.				12/03/1999
	6,420,050 B2	07/16/2002	Birch et al.				02/05/2001
	6,455,171 B2	09/24/2002	Scheibelhoffer et al.				02/05/2001
	6,444,073 B1	09/03/2002	Reeves et al.				04/08/1999
	6,503,588 B1	01/07/2003	Hayashi et al.				06/09/2000
	4,128,386	12/05/1978	Wissinger et al.				

### FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub Class	Translation	Abstract

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

	PLEXIGLASS DR, <i>For the Ultimate Surface Qualities in Spas, Pools and Jetted Tubs</i> , ROHM AND HAAS, PL-1554, October 1987
	ROHM AND HAAS REPORTER, <i>Taking the Waters</i>
	AQUA 100, <i>Spa &amp; Pool Companies Throughout the Country Review '89 and Plan for '90</i> , High-quality gazebos and Plexiglass DR acrylic spas for America's dream backyards of the 90s, November 1989
	AQUA, <i>Changing laws will influence spa manufacturing practices</i> , Advertisement in 1988, NSPI INTERNATIONAL SHOW ISSUE

Examiner:	Date Considered:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.